03500.010530.5

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Kiyofumi SAKAGUCHI, et al.	: Examiner: George R. Fourson, III
Appln. No.: 10/085,046	: Group Art Unit: 2823
Filed: March 1, 2002	; }
,	;
For: PROCESS FOR PRODUCTION OF SEMICONDUCTOR SUBSTRATE) : April 28, 2006

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

FOURTH INFORMATION DISCLOSURE STATEMENT

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56 and in accordance with the practice under 37 C.F.R. §§ 1.97 and 1.98, the Examiner's attention is directed to the documents listed on the enclosed Form PTO-1449. Copies of the listed documents are also enclosed.

REMARKS

As discussed in the November 14, 2005 Third Information Disclosure Statement, the following documents were cited in a Japanese Official Action of a foreign counterpart application (Japanese Patent Application No. 10-230926) corresponding to the above-referenced application:

- (1) JP-A 05-021338;
- (2) JP-A 05-211128;

- (3) JP-A 05-218464;
- (4) JP-A 05-283722;
- (5) JP-A 05-217827
- (6) JP-A 05-299362; and
- (7) JP-A 04-034961.

Copies of the JP documents were filed with the November 14, 2005 Information Disclosure Statement. English-language translations of Documents (3), (6), and (7) are enclosed. Applicants submit that EP 0469630 A2 corresponds to Document (1).

FORMAL MATTERS

Any fee required in connection with this paper should be charged to Deposit Account No. 06-1205.

CONCLUSION

It is respectfully requested that the above information be considered by the Examiner and that a copy of the enclosed Form PTO-1449 be returned indicating that such information has been considered.

Applicants' undersigned attorney may be reached in our Washington, D.C. office by telephone at (202) 530-1010. All correspondence should continue to be directed to our address given below.

Respectfully submitted,

/Daniel S. Glueck/ Attorney for Applicants Daniel S. Glueck Registration No. 37,838

FITZPATRICK, CELLA, HARPER & SCINTO 30 Rockefeller Plaza New York, New York 10112-3800 Facsimile: (212) 218-2200

FORM PTO 1449 (modified) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF REFERENCES CITED BY APPLICANT(S) (Use several sheets if necessary)		ATTY DOCKET NO. 03500.010530.5		APPLICATION NO. 10/085,046				
		APPLICANT Kiyofumi SAKAGUCHI, et al.						
Date Submitte	d to USPTO: April 28, 2006	,	FILING DATE GROUP 2823		323			
		l	J.S. PATENT DOCUMENTS					
*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE		
		<u> </u>	L REIGN PATENT DOCUMENTS					
	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES/NO/ OR ABSTRACT		
	5-218464	08/27/1993	Japan			Yes		
	5-299362	11/12/1993	Japan			Yes		
	4-034961	02/05/1992	Japan			Yes		
	0 469 630 A2	02/05/1992	Europe					
OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)								
EXAMINER			DATE CONSIDERED					

Sheet_	1_	of_	1_
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^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.